

Supplier Diversity Report

2022 Annual Report

2023 Annual Plan



Central Coast
Community
Energy



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**BEFORE THE PUBLIC
UTILITIES COMMISSION OF
THE STATE OF CALIFORNIA**

Order Instituting Rulemaking into
Implementation of Public Utilities
Code Sections

8281- 8286 Relating to Woman,
Minority, Service-Disabled
Veteran and Lesbian, Gay,
Bisexual and Transgender
Business Enterprises
R. 91-02-011

**CENTRAL COAST
COMMUNITY ENERGY (3CE)**

Woman, Minority, Service-
Disabled Veteran and
Lesbian, Gay, Bisexual
and Transgender Business
Enterprises (WMDVLGBTBE)
used interchangeably with
Disadvantaged Business

Report for Calendar Year 2022

**Central Coast Community
Energy**

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March 1, 2023



Chief Executive Officer's Message

Central Coast Community Energy (3CE), along with the other Community Choice Aggregators in California, was formed by our local governments in part to ensure that the monies our residential and commercial customers pay to power their homes and businesses are invested back into the same communities where they live and work.

Every choice we make reflects the communities we serve. Decisions at 3CE are made by our board members – elected officials representing the 34 diverse city and county populations in our region.

The power we procure – our largest expense, and which exceeds state requirements for renewable energy – reflects our leadership's and their constituents' commitment to building a healthy, environmentally sustainable, and reliable energy supply for generations to come.

This commitment carries through to our procurement process, where we have established a system for registering businesses to help ensure our dollars stay in our local communities.

We're pleased to report that over the past year, revenues spent with local businesses increased 33% – from \$1.06 million to over \$1.38 million. Additionally, spend with self-identified women-owned businesses is up 447%, to \$171 thousand from last year's \$31.4 thousand. 3CE continues to grow our diverse spend through ongoing promotion of the small, local, and diverse vendor registry.

At 3CE, community reinvestment is also made through our Energy Programs. In FY 2021-2022, 3CE allocated more than \$10 million to local residents, businesses, and public agencies to help spur the electrification of buildings and transportation. Outreach for these programs was conducted in English and Spanish and targeted communities on the Central Coast identified as underserved.

These efforts resulted in:

- \$1 million to seven Title 1 Schools to cover the lease or purchase of electric school buses.
- \$350,000 to 23 small farms and agricultural businesses to electrify farm equipment.
- \$1 million in EV rebates to customers who receive utility payment assistance.
- \$775,000 to build 320 new affordable all-electric housing units.

As our agency continues to mature since our formation in 2017, we are confident this trend of increased engagement among our underserved communities will carry on. With consistent and impactful outreach, we look forward to seeing the remarkable progress in our local and diverse spending continue.

Providing clean and renewable energy to the unique communities and people that make up the Central Coast is an honor and a privilege. The idea that by coming together as a region, we could make the dollars our citizens pay for utility services also fund economic development in their communities and provide direct benefits through energy programs and local spending has materialized and taken root through our Board's leadership and is being manifested throughout the state.

3CE is pleased to provide this comprehensive view of our expanding sourcing and vendor footprint and the positive, collaborative, and community-based economic impacts it provides.



Tom Habashi

Tom Habashi
Chief Executive Officer



Executive Summary

Central Coast Community Energy's third Supplier Diversity Report shows significant progress in the agency's investments with small, local, and diverse business enterprises. Though still constrained by Proposition 209's amendment to the California Constitution, which prevents public agencies from providing preferences to bidders based on race, sex, color, ethnicity, or national origin. 3CE is proud that 22% of its \$6.3 million in non-energy procurement spending in 2022 was with local vendors based within its 34-member agency jurisdictions. The supplier spending in the data tables throughout the report is limited to vendors who, in response to an annual survey sent to all vendors doing business with the agency, self-identified as eligible and were verified for enrollment in the California Public Utilities Commission Supplier Diversity Program. While operating in compliance with Proposition 209, 3CE spent a total of \$202,149 with businesses who self-identified as Hispanic-owned, Asian-owned, Woman-owned, or LGBTQ-owned. Of that total, \$58,064 was spent with GO 156 Supplier Clearinghouse certified businesses.

In the past year, 3CE has continued to support our local and diverse economy through our community outreach. 3CE is a current member of 41 small, local, and diverse community, business, and economic development organizations. These groups allow us to promote resources like our small, local, and diverse vendor registry, where participants receive business opportunity notifications and preferential scoring in Requests for Proposals/Offers. In total, 3CE staff did outreach at 247 different events held in the past year.

3CE is also focused on creating a company culture of diversity, equity, and inclusion. The Community Advisory Council's Underserved Communities ad hoc committee has continued to provide guidance on how to engage our disadvantaged communities. 3CE Boards continue to practice informed policy decision making, like our formal Small and Local Preference Policy, allowing the agency to work with small, local, and diverse businesses to provide goods and services whenever possible. Additionally, 2022 was the first year 3CE successfully completed recruitment promotion through a diverse recruiting platform. Spanning five counties, 3CE strives to reflect the vibrant and diverse communities we serve.

The area in which 3CE makes the greatest local impact is through our electrification programs. 3CE's Policy Board has increased its electrification programs budget by 13.5%, projected in the 2022-2023 fiscal year to be \$16 million. Programs are designed with extensive community input to bring the greatest benefit possible to disadvantaged members of our community. Targeted outreach in multiple languages is aimed at diverse groups throughout the service area, including immigrant support network round tables, field farmworker promotional events, and extensive partnerships with community-based organizations. The portfolio of programs has expanded to include new construction, agriculture, electrification education, transportation, battery energy storage, and a dedicated fund to support member agency services.

Executive Summary (cont.)

3CE is also working to better support the communities we serve through locally sited, clean, renewable energy projects. 3CE's Board has approved four long-term locally sited battery projects ranging from 10MW to 32MW in size. Additionally, 3CE is finalizing negotiations for six 18.2 MW battery storage assets, the majority of which are proposed to be at Member Agency owned sites. 3CE continues its commitment to advance procurement with small and local diverse business enterprises throughout the Central Coast as a critical component of the agency's mission to reduce greenhouse gas emissions while stimulating the economy.



Investments & Activities Highlights of 2022

\$172,231

Spent with Women Business Enterprises

41

Memberships with small, local, and diverse community business and economic development organizations

\$1.38 million

Spent with local businesses in 3CE's service area

11

New hires

\$48,677

Spent on local events & sponsorships

247

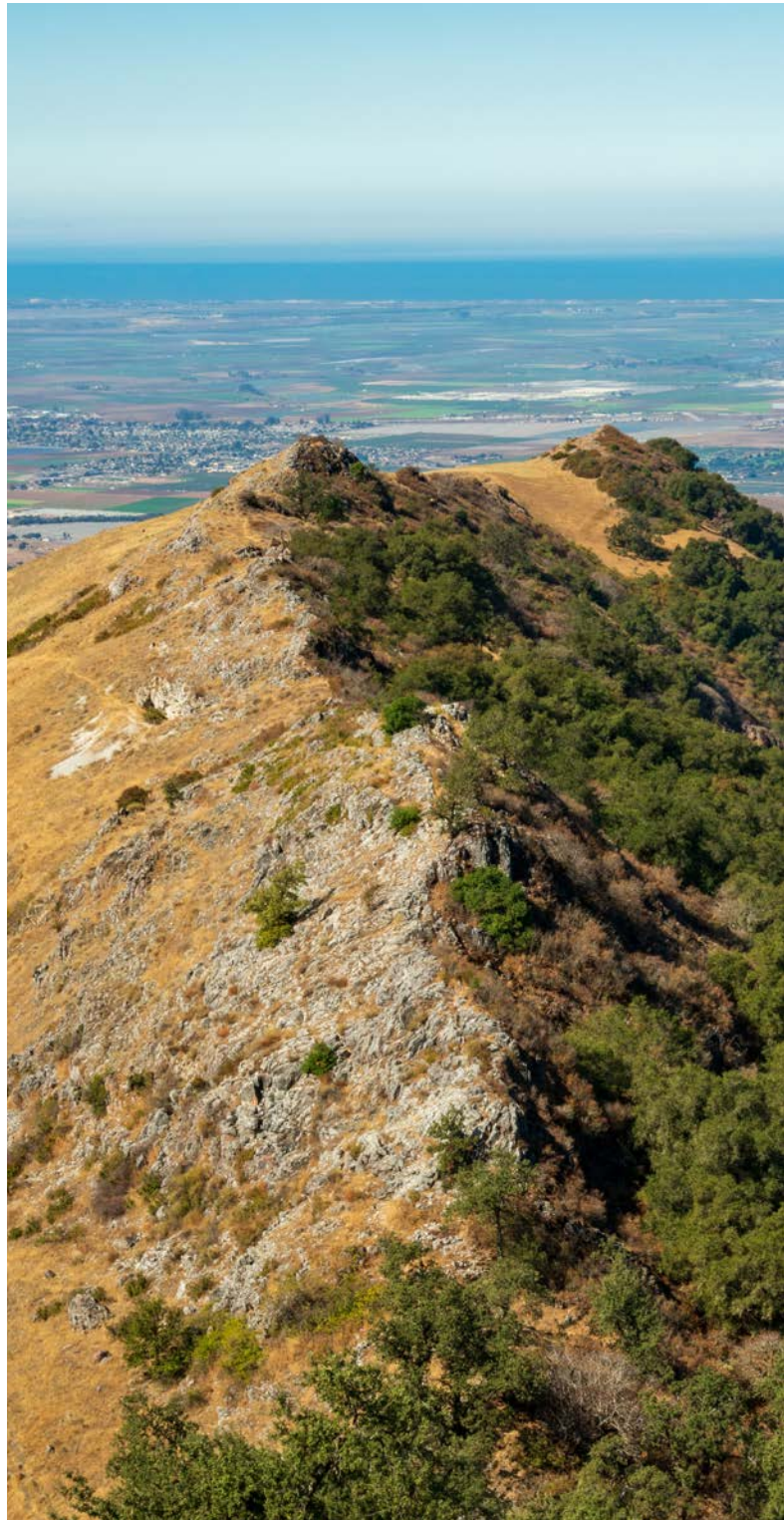
Outreach events

\$16 million

Allocated for Energy Programs

\$35 million

Allocated for Local Front of the Meter Battery Storage





**Central Coast
Community Energy
(3CE) is a public
agency that sources
competitively
priced electricity
from clean and
renewable energy
resources.**



CENTRAL COAST COMMUNITY ENERGY (3CE)


3CE is locally controlled and governed by board members representing our constituent communities. Revenue generated by 3CE stays local and helps keep electricity rates affordable for customers, while funding innovative energy programs designed to lower greenhouse gas emissions and stimulate local economic development. 3CE serves more than 435,000 customers throughout the Central Coast, including residential, commercial, and agricultural customers in communities located within Monterey, San Benito, San Luis Obispo, Santa Barbara, and Santa Cruz counties.

SENATE BILL 255

SB 255 (Bradford 2019) expands the Commission's Supplier Diversity Program by lowering the annual revenue threshold for participation from \$25 million to \$15 million and requiring CCAs to report specified information.

CALIFORNIA PROPOSITION 209

In compliance with Proposition 209, CCAs do not give preferential treatment to bidders based on race, sex, color, ethnicity, or national origin. To comply with Proposition 209, CCAs may collect this information only after contracts are signed, and responses are kept separate from procurement decision makers, so that this information does not influence any current or future solicitation or selection processes. 3CE is committed to encouraging participation of small, local, and diverse businesses in all phases of procurement and contracting activity within the scope of the current law.



9.1.1 Disadvantaged Business Program Activities During 2022

INTERNAL ACTIVITIES


As a public agency established by communities in one of the most diverse regions of California, 3CE is committed to supporting small, local, and diverse businesses while ensuring a fair and transparent procurement process.

While Proposition 209 limits 3CE to retroactively collecting information about disadvantaged business status, 3CE has developed an internal vendor database of all suppliers and vendors to support our effort to contract with small and local vendors. As discussed further in Section 10.1.2, the registry collects information aligned with SB 255 and will allow 3CE to track how many contracts are made with these vendors and where they are located. In 2022 3CE staff promoted the registry during 31 webinars across every customer type and incorporated it into outreach to community organizations, member agencies, city contacts, business chambers, and diverse business groups that serve our local communities. Both the vendor registry itself and the outreach efforts have been made available in both Spanish and English.

In addition to ensuring a fair and transparent procurement process, 3CE recognizes that the diversity of its workforce contributes to how 3CE (1) engages with disadvantaged communities; (2) understands the unique barriers that preclude disadvantaged businesses from securing contracting opportunities with 3CE; and (3) reduces unintended bias in the selection and contracting processes. 3CE has continued to provide access to employee training via the Pryor Learning Platform on topics including, but not limited to, diversity, equity, and inclusion; anti-racism; cultural diversity; sexuality and gender identity; and inclusive communication.

3CE is committed to diversity and inclusion in its recruitment, selection, workforce development, and internal personnel policies. 3CE's contract, vendor registry and career opportunities can be found on our solicitations webpage: <https://3cenergy.org/about-us/work-with-us/>. If additional resources are needed, 3CE has Local Customer Service Energy Advisors who are ready to assist with connecting business enterprises and potential job applicants with the appropriate staff via phone (1-877-455-2223) or email (info@3ce.org).

3CE increases diversity in candidate recruitment and selection by critically examining minimum job qualifications, posting open positions with professional groups geared towards women and other minority candidates, and applying rigorous candidate selection processes, which include utilizing diverse selection committees and removing personal identifying information during the screening

A decorative graphic of a light green vine with several leaves, extending from the top right corner of the page and curving downwards and to the left, framing the top of the text.

process to remove biases. This was the first year 3CE successfully recruited via diversity websites to increase a diverse applicant pool and to attract a nationwide diverse applicant search.

3CE routinely evaluates internal policies and practices, including compensation, benefits, workplace accommodations, and training to ensure practices are aligned with a diverse and inclusive workplace. Notably, 3CE has begun developing policies to successfully pilot a new internship program to implement next year.

In 2022, 3CE hired 11 new team members, including 8 women, from diverse applicant pools.

EXTERNAL ACTIVITIES

3CE supports a wide array of community, business, and trade organizations that promote the growth and development of small and diverse businesses, as well as the health and economic well-being of disadvantaged communities across the 3CE service territory. As part of 3CE's ongoing commitment to economic vitality throughout the Central Coast, 3CE staff attended, sponsored, and facilitated 247 events and external activities during 2022.

These opportunities enable 3CE to share resources and provide information about 3CE's energy programs available to eligible customers, utility payment assistance, and the benefits of using clean and renewable power.

In addition to supporting events, 3CE staff hosted virtual webinars, workshops, and public forums to bolster outreach and gather valuable feedback about community needs and increase participation in energy programs.

KEY OUTREACH ACTIVITIES

3CE Webinars in English and Spanish

3CE staff hosted dozens of virtual events to educate households and businesses about 3CE services, enrollment and energy programs. To best accommodate all members of enrolling communities, events were offered during lunchtime hours, in the afternoon, and evenings. All events were also had at least one option entirely in Spanish. While not directly focused on contracting opportunities, these outreach activities provided a critical introduction to 3CE and its business activities to our expansive and diverse service area.

Field Farmworker Outreach Promotion

In partnership with Univision, La Tricolor 99.5, La Ley 100.3 and Entravision, 3CE continued its commitment to educating farmworkers, many of whom are part of the region's most ethnically diverse and disadvantaged communities. In 2022, 3CE distributed information about payment assistance programs, rebates and incentives to farmworkers and distributed PPPE to over 500 farmworkers at 10 events.



Fund for Santa Barbara County

3CE participates in regular meetings attended by nonprofits and community leaders to share resources and discuss opportunities to support underserved communities.

California Green Business Network

3CE works closely with three Green Business Certification Programs in its service area to provide direct support to local green business owners looking to opt up to 3CE's 100% renewable energy offering, 3CPrime.

KEY SPONSORSHIPS & MEMBERSHIPS ORGANIZATIONS

Listed below are some key sponsorship and membership opportunities 3CE maintains, a full list of all organizations 3CE has supported through membership and outreach activities can be found in Appendix A.

Monterey Bay Economic Partnership (MBEP)

3CE's CEO serves on the Board of Directors for MBEP, a regional organization focused on climate change, transportation, housing, workforce development, and equal access to broadband technology. 3CE participates regularly in MBEP's regional events including panel discussions, attending, and sponsoring regional meetings.

Regional Economic Action Coalition (REACH)

3CE participates in the Council for REACH, an organization based in San Luis Obispo and Santa Barbara Counties focused on clean energy, aerospace, technology and economic vitality. 3CE participates in regular meetings and networking events with REACH.

National Association of Women Business Owners, Central Coast Chapter

3CE participates in regular meetings, networking events and webinars with NAWBO California and Central Coast Chapters.

Women's Business Enterprise Council, Pacific Chapter

As a member of WBEC Pacific, 3CE has exclusive access to a registry of over 17,000+ certified woman owned businesses in a searchable database for vendor selection.

Rainbow Chamber of Commerce, Silicon Valley

3CE participates in networking events and webinars with the Rainbow Chamber of Commerce. This organization connects 3CE to local vendors who identify as LGBTQ+.

Salinas Valley Chamber of Commerce

3CE sponsored their Legacy of Leadership event.



ENERGY PROGRAMS OUTREACH

3CE's focus on local control, clean energy, and community reinvestment uniquely positions us to equitably deploy GHG emission-reducing energy programs in collaboration with Member Agencies, regional partners, our Community Advisory Council, and community-based organizations (CBOs). We strategically target electrification of the transportation, building, and agriculture sectors – the biggest contributors to regional GHG emissions — and design programs that meet community priorities while simultaneously offering solutions across 3CE's entire service area. Our expansive and continual community engagement is key to gathering valuable feedback that allows us to design programs that meet our diverse community's needs.

- **Building Electrification** – Supporting customers in the transition from fossil fuels to electricity for various end uses in new and existing residential and commercial buildings including domestic hot water, space conditioning, cooking, etc.
- **Transportation Electrification** – Supporting customers in the transition from fossil fuels to electricity for light, medium and heavy-duty vehicles, including support electric vehicle charging infrastructure.
- **Agricultural Sector Electrification** – Supporting the agricultural industry in the transition from fossil fuels to electricity for various agricultural applications including irrigation pumping, tractors, forklifts, boilers, and chillers, etc.
- **Community Resiliency** – Supporting grid reliability and customer resiliency through energy infrastructure and demand response.

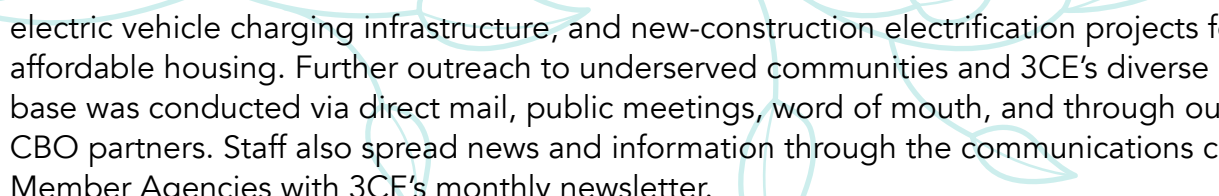
KEY ENERGY PROGRAMS OUTREACH ACTIVITIES

Community Advisory Council Meetings & Activity

3CE's 15-member Community Advisory Council (CAC) provides a direct point of engagement with the communities and the customers we serve. The CAC currently includes representatives with experience in organized labor, local environmental groups, sustainable planning, affordable housing development, the agricultural and tourism industries, energy efficiency, low-income customer assistance, as well as a current Air Pollution Control Officer and a former California Energy Commissioner. The trusted network, experience, and perspectives that the CAC members bring to 3CE help maintain the agency's capacity to help disadvantaged communities.

Communications & Outreach Activity & Events

In 2022, Communications staff drove energy program engagement among our diverse local communities through a variety of information channels, tailoring messages for both English- and Spanish-speaking audiences. A sophisticated digital advertising strategy also allowed staff to target certain customers based on language preference, income level, and geography. Staff placed paid advertising across digital and legacy media including social media, print, radio, and television. Communications staff also earned media coverage in English and Spanish publications by participating in public events celebrating new power generation and storage facilities, new



electric vehicle charging infrastructure, and new-construction electrification projects focused on affordable housing. Further outreach to underserved communities and 3CE's diverse customer base was conducted via direct mail, public meetings, word of mouth, and through our network of CBO partners. Staff also spread news and information through the communications channels of our Member Agencies with 3CE's monthly newsletter.

In addition to the broad-reaching communications tactics to make all customers aware of Energy Program resources, 3CE's Account Services staff engaged with customers and the general public on a more individual level through phone calls, meetings, tabling at events and presenting to Chambers of Commerce and CBOs, as well as virtually via informational webinars on subjects of particular public interest. During these interactions, providing information about Energy Program rebates and the increased rebates available for low-income customers was a top priority.

COMMUNITY ENERGY PROGRAMS ACTIVITIES

New Construction Electrification Program

In 2022, 3CE implemented the third iteration of the New Construction Electrification Program to incentivize local housing developers to construct all-electric multi-family housing projects across 3CE's service area. 3CE budgeted \$1.5 million for this program, and unlike previous iterations, only provided incentives to support affordable, all-electric housing.

Electrify Your Home Program

In 2022, 3CE launched the Electrify Your Home Program to provide incentives to contractors and installers who install all-electric heat pump water heating and space conditioning technologies in existing single-family homes and multi-family properties. This program had a \$1.6 million budget and offered enhanced incentives for low-income customers. It also included workforce education and training opportunities for local contractors focused on equipment installation and how to market and sell heat pump technology.

Agricultural Electrification Program

In 2022, 3CE implemented the third iteration of the Agricultural Electrification Program to provide incentives to local agricultural businesses to replace fossil fuel powered equipment with all electric equipment, including irrigation pumps, tractors, and other ag-related equipment. This program had a \$600,000 incentive budget and provided enhanced incentives to "small businesses" and projects with greater GHG emission reductions.

Electrify Your Ride Program

In 2022, 3CE launched the second iteration of the Electrify Your Ride Program to provide rebates to residential, commercial/agricultural, and public agency customers. This revamped program had a \$2.85 million incentive budget and offered rebates to customers for electric vehicles, EV chargers, EV readiness (to cover time and material electrical costs associated with installation), and E-bikes. In addition to offering additional rebates, this program had two levels of enhanced incentives for low-income customers, as well as an enhanced incentive for e-bikes purchased from local bike shops.

Electric School Bus Program

In 2022, 3CE implemented the third iteration of the Electric School Bus Program to incentivize public schools and school districts to purchase all-electric school buses. For this program, 3CE budgeted \$1 million and continued to contribute up to 50% of the cost of a bus, not to exceed \$200,000.

Electrification Education Grant Program

In 2022, 3CE launched the second iteration of its Grant Program with a focus on projects intended to increase public knowledge about the concept of electrification and its benefits, and providing workforce education, training, and development opportunities focused on electrification. The Grant program had a \$400,000 budget and continued to target local organizations interested in supporting program goals.

CALeVIP – South Central Coast Incentive Project

In coordination with State and regional funding partners, 3CE continued to support the CALeVIP – South Central Coast Incentive Project (SCCIP) program in 2022 providing incentives for public DC Fast Chargers and Level 2 Electric Vehicle Chargers. SCCIP made a total of \$12 million in funds available over two years in San Luis Obispo, Santa Barbara, and Ventura counties. 3CE is contributing \$1.75 million with our funding available to 3CE customers and 50% of the funds dedicated to disadvantaged and low-income communities.

Uninterruptible Power Supply (UPS) Fund Program

In 2022, 3CE continued to offer the UPS Fund to public sector customers to provide reduced cost financing for resiliency projects that support back up power at eligible critical facilities. The UPS Fund leverages 3CE investment in local jobs, because 3CE's public sector partners stimulate the regional economy through local preference purchasing policies, local workforce requirements, and prevailing wage policies.



MEMBER AGENCY PROGRAM SERVICES

In 2022, 3CE launched Member Agency Services. This new comprehensive offering enables 3CE to proactively engage our 34 Member Agencies in program design, utilization, outreach, and support for non-program initiatives designed to reduce greenhouse gas emissions and the reliance on fossil fuels. Programs launched in 2022 under Member Agency Services included:

Light Duty Electric Vehicle Program

In 2022, 3CE launched the Light Duty Electric Vehicle Program to provide incentives to Member Agency cities and counties to offset the cost of the purchase of light-duty electric vehicles and the purchase and construction/installation Level 2 electric vehicle charging infrastructure. 3CE budgeted approximately \$550,000 for this program.

Medium and Heavy-Duty Electric Vehicle Program

In 2022, 3CE launched the Medium and Heavy-Duty Electric Vehicle Program to provide incentives to Member Agency cities and counties to offset the cost of the purchase of medium and heavy-duty (MDHD) electric vehicles. For this program, 3CE had a \$1.25 million budget and contributed up to 50% of the cost of an MDHD electric vehicle, not to exceed \$250,000.

Planning, Implementation, and Innovation Grant Program

In 2022, 3CE implemented the Planning, Implementation, and Innovation Grant Program to provide Member Agencies with support for planning and implementation related to the electrification of municipal fleets, properties, and community infrastructure. A total of \$600,000 was made available for the Grant Program, with a maximum grant amount of \$150,000 per grant recipient.



9.1.2 Supplier Diversity Results of Goods and Services (non-power purchases) if Procured

3CE is proud to report that 22% of our total procurement on non-energy funds, \$1.38 million, have been used locally. This represents an increase of \$402,177 since last year's report, showing our commitment to fostering economic growth within the communities we serve.

Complying with Proposition 209, 3CE collected the following ethnicity information only after contracts are signed, and responses are kept separate from procurement decision makers, so that this information does not influence any current or future solicitation or selection processes. Through this process, we have been able to identify businesses that are minority owned, even if they are not yet certified through the Supplier Clearinghouse, representing an additional \$144,084.47 not included in the tables below. 3CE will continue outreach to encourage these suppliers to enroll with the Clearinghouse.



2023 ANNUAL SUPPLIER DIVERSITY RESULTS OF GOODS AND SERVICES

		Direct Procurement ¹	Subcon- tractor Procure- ment ²	Total \$	% of Net Procure- ment	Product Spend \$	Service Spend \$	Total \$	% of Net Procure- ment
Minority Male	Asian Pacific American	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
	African American	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
	Hispanic American	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
	Native American	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
	Total Minority Male	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Minority Female	Asian Pacific American	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
	African American	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
	Hispanic American	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
	Native American	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
	Total Minority Female	\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Total Minority Business Enterprise (MBE)		\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Women Business Enterprise (WBE)		\$57,024.77	\$0	\$0	0.91%	\$0	\$57,024.77	\$57,024.77	0.91%
Lesbian, Gay, Bisexual, Transgender Business Enterprise (LGBTBE)		\$1,040	\$0	\$1,040	.02%	\$0	\$1,040.00	\$1,040.00	0.02%
Disabled Veteran Business Enterprise (DVBE)		\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Persons with Disabilities Business Enterprise (DBE)		\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Other 8(a)*		\$0	\$0	\$0	.0%	\$0	\$0	\$0	0%
Total Supplier Diversity Spend		\$58,064.77	\$0	\$58,064.77	0.93%	0	\$58,064.77	\$58,064.77	0.93%
Net Procurement**		\$6,263,009.18	* 8(a) - Businesses owned and controlled by persons found to be disadvantaged by the U.S. Small Business Administration pursuant to Section 8(a) of the Small Business Act, as amended (15 U.S.C. 637 (a)) or the U.S. Secretary of Commerce, pursuant to Section 5 of Executive Order 11625 (GO 156 Section 1.3.13). ** Net Procurement incudes purchase orders, non-purchase orders, and credit card dollars.						
Net Product Procurement		\$82,969.01							
Net Service Procurement		\$6,180,040.17							
Total Number of Diverse Suppliers that Received Direct Spend		3							

¹ Direct - Means Direct Procurement: when a CCA directly procures from a supplier.
² Sub - Means Subcontractor Procurement: when a prime contractor, in contract with a CCA, procures from a subcontractor to fulfil its contractual obligation(s).

9.1.2 Description of Diverse Suppliers with CA Majority Workforce

3CE's certified suppliers are located in California and have a California majority workforce.

NUMBER OF WMDVLGBTBE SUPPLIERS AND REVENUE REPORTED TO THE CLEARINGHOUSE

The following table for describing diverse supplier revenue reported to the Clearinghouse is included as directed by D.06-11-028.

The following tables reflect the reported revenue and spend of diverse suppliers who are registered with the Supplier Clearinghouse. This table does not reflect the additional \$144,084.47 spent with diverse suppliers who self-identified via 3CE's supplier diversity survey. In compliance with Proposition 209, the voluntary survey is sent only to suppliers with a finalized contract. 3CE will continue to encourage these suppliers to register with the Supplier Clearinghouse and overcome the barriers they are finding with registering.

	DATA ON NUMBER OF VENDORS									
	Revenue Reported to CHS					3CE 2023 Summary				
#WMDVLGBTBEs	WBE	MBE	LGBTBE	DVBE	Grand Total	WBE	MBE	LGBTBE	DVBE	Grand Total
Under \$1 million	1		1		2	1		1		2
Under \$5 million										1
Under \$10 million										
Above \$10 million	1				1	1				
Total	2		1		3	2		1		3

	REVENUE AND PAYMENT DATA									
	Revenue Reported to CHS					3CE 2023 Summary				
#WMDVLGBTBEs	WBE	MBE	LGBTBE	DVBE	Grand Total	WBE	MBE	LGBTBE	DVBE	Grand Total
Under \$1 million	\$874,000		\$200,000		\$1,074,000	\$52,056.02		\$1,040.00		\$53,096.02
Under \$5 million						\$4,968.02				\$4,968.02
Under \$10 million										
Above \$10 million	\$23,364,561				\$23,364,561					
Total	\$24,238,561		\$200,000		\$24,438,561	\$57,024.77		\$1,040.00		\$58,064.77





9.1.3 Supplier Diversity Program Expenses

EXPENSE CATEGORY	2022 ESTIMATED ACTUALS
Wages	\$25,000
Other Employee Expenses	\$0
Program Expenses	\$0
Reporting Expenses	\$1,556.25
Training	\$0
Consultants	\$0
Other	\$0
Total	\$26,556.25

3CE's Disadvantaged Supplier reporting expenses are, and will likely continue to be, minimal.

This reality reflects the limitations of Prop 209 that restrict local government agencies from collecting information on disadvantaged businesses until after contracting or selection decisions have been made. The limited dollars spent on reporting activities in no way reflects 3CE's commitment to spurring the local economy and fostering contracting opportunities for small, local, and diverse businesses.

As detailed in other sections, 3CE invests heavily in community outreach, advertising, training, and development to serve as a partner in economic development that benefits our diverse businesses and to ensure the community is aware of energy program offerings and contracting opportunities for goods and services, as well as power procurement.

For example, 3CE directly engaged local business entities to ensure they were aware of the California Energy Commission's (CEC) CALeVIP program and directed them to the program and the benefits on-site electric vehicle infrastructure could bring to their business.

Similarly, in anticipation of its local-only power procurement request for offers, 3CE facilitated introductions between developers and local landowners interested in leasing land for local renewable energy generation.

9.1.5 Prime Contractor Utilization of Diverse Subcontractors

3CE surveyed all contracted vendors to collect subcontractor data and information on hiring practices. None of 3CE's contractors reported the utilization of GO 156 registered subcontractors. Although responses for additional information were minimal, several of our vendors reported on their own diverse business goals. Notable responses include:

- "TRC [Engineers, Inc.] is committed to providing business opportunities to diverse business enterprises, including small businesses, and women, minority, service-disabled veteran, and LGBT-owned business enterprises. We strive for a diverse and inclusive supply chain through the utilization of diverse subcontractors and business solution partners. This optimizes innovation, increases competition, and provides better business solutions. We support diversity programs including intern and mentorship, technical assistance training and sharing of expertise, systems, and tools."
- "Frontier Energy is working with Pope Consulting on a three-year initiative to improve our company's diversity and inclusion by cultivating a welcoming environment and implementing systems that facilitate the recruitment, retention, and advancement of a diverse workforce. Of our 142 full-time staff, 49% self-identified as non-White and 36% self-identified as female. In 2021, Frontier Energy launched a vendor portal to encourage contracting with diverse businesses. The portal also tracks the dollar amounts of subcontractors and purchases of supplies and services with suppliers in 18 diversity categories. In 2022, the company expended \$23 million with small and diverse businesses."

SUMMARY OF PRIME CONTRACTOR UTILIZATION OF WMDVLGBTBE SUBCONTRACTORS

	Minority Male	Minority Female	Minority Business Enterprise (MBE)	Women Business Enterprise (WBE)	Lesbian, Gay, Bisexual, Transgender Business Enterprise (LGBTBE)	Disabled Veterans Business Enterprise (DVBE)	Other 8(a)*	Total Disadvantaged Subcontractors
Direct \$	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Subcontracting \$	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total \$	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Direct %	0%	0%	0%	0%	0%	0%	0%	0%
Subcontracting %	0%	0%	0%	0%	0%	0%	0%	0%
Total %	0%	0%	0%	0%	0%	0%	0%	0%
Net Procurement**	\$6,263,009.18							



9.1.6 List of Supplier Diversity Complaints Received and Current Status

3CE is unaware of any formal complaints.

9.1.9 Description of Supplier Diversity Activities and Progress in Power (Energy) Procurement

3CE's estimated 2023 retail sales are 5,068 GWh with an approximate peak capacity of 860 MW. In addition to the 18 utility- scale long term Power Purchase Agreements (PPAs) 3CE executed in past three years, we are also procuring locally sited clean and renewable power supply from standalone Energy Storage Systems to meet our growing service territory and demand. The majority of 3CE's activities supporting small and local diverse businesses are described in Section 9.1.1.

Four of 3CE's Board-approved PPAs for utility-scale generation resources are located within 3CE's service area. One of them is a 20-year contract for a 10MW/40MWh battery storage project, and three are 20-year agreement for three long duration battery storage projects for 32MW/256MWh. These projects are sited locally in Monterey and Santa Barbara counties and expected to come online as early as March 2025. Development of these grid-connected energy storage resources will provide greater reliability throughout 3CE's service area and enable 3CE to better manage its solar and wind generation resources. These storage projects will provide energy, capacity, Resource Adequacy benefits, and ancillary services.

In addition, 3CE is continuing to make efforts to procure and deploy Front-of-The-Meter (FoTM) energy storage projects, sized anywhere between 1 MW to 5 MW throughout its service area. 3CE is currently finalizing negotiations for the agreement to construct of 18.2 MW of small energy storage assets at six different locations, anticipated to be online between June 2024 and June 2025. Nearly all the proposed project sites are owned by 3CE Member Agencies. Many of these sites may be eligible for PG&E's Community Microgrid Enablement Tariff (CMET) and Community Microgrid Enablement Program (CMEP). CMET allows FoTM energy storage resources to provide power to a limited group of local customers during a grid outage.

9.1.9 Supplier Diversity Results in Power (Energy) Procurement

Despite 3CE's effort to promote larger Requests for Proposals in Energy Procurement through various channels, the agency did not procure fuel or electricity from GO 156 certified WMDVLGBTBE in calendar year 2022. This is in no way reflective of the desire of 3CE to procure these resources from WMDVLGBTBEs, but descriptive of the energy market landscape and rarity of diverse vendors in California. The reporting table to the right reflects this.



2023 ANNUAL SUPPLIER DIVERSITY RESULTS IN POWER (ENERGY) PROCUREMENT

		DIRECT POWER PURCHASES \$	DIRECT FUELS FOR GENERATION \$			TOTALS \$ ¹			% ²
		Renewable and Non-Renewable Power Products	Diesel	Nuclear	Natural Gas	Direct ³	Sub ⁴	Total \$ ⁵	% of Net Procurement
Minority Male	Asian Pacific American	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
	African American	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
	Hispanic American	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
	Native American	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
	Total Minority Male	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
Minority Female	Asian Pacific American	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
	African American	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
	Hispanic American	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
	Native American	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
	Total Minority Female	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
Total Minority Business Enterprise (MBE)		\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Women Business Enterprise (WBE)		\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
Lesbian, Gay, Bisexual, Transgender Business Enterprise (LGBTBE)		\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Disabled Veteran Business Enterprise (DVBE)		\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Persons with Disabilities Business Enterprise (DBE)		\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Other 8(a) ⁶		\$0	\$0	\$0	0%	\$0	\$0	\$0	0%
Total Supplier Diversity		\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%

Net Power Procurement	\$293,392,461.55	¹ Excludes purchases from the California Independent System Operator (CAISO), utilities, federal entities, state entities, municipalities and cooperatives.	fulfill its contractual obligation(s). ⁵ "Total" does not include pre-commercial development (COD) subcontracting values.
Net Direct Power Purchases	\$293,392,461.55		
Net Direct Fuels for Generation	\$0	² % - Percentage of Net Procurement.	
Total Number of Diverse Suppliers	0	³ Includes Direct Power Purchases and Direct Fuels for Generation. Direct - Means Direct Procurement: when a CCA directly procures from a	

2023 Annual Plan





10.2 Small, Local, and Diverse Business Planned Program Activities for 2023

2023 PLANNED INTERNAL ACTIVITIES

3CE is committed to expanding our database of small and local vendors, providing staff training, and reinforcing the organization's commitment to inclusivity and increasing contracting opportunities for small and local diverse businesses.

To identify and facilitate increased communication with small and local diverse suppliers, 3CE is refining how it engages with the business community continues to expand its internal vendor database.

Diverse Business Engagement – 3CE engages with local economic development partnerships and diverse business chambers to build and leverage relationships to spur additional contracting opportunities for small and local diverse businesses.

Small and Local Vendor Registry – 3CE's vendor database enables direct outreach to small and local suppliers regarding contracting opportunities with 3CE. It improves 3CE's outreach by allowing 3CE to better understand which contracting opportunities the vendor engages in, whether the vendor is successful, and identifying potential barriers to participation in 3CE contracting opportunities. The Vendor Registry also provides information on the Supplier Clearinghouse and encourages businesses to register there as well.

Workforce and Hiring Practices – 3CE is committed to ensuring a diverse and inclusive workforce supportive of small and local businesses that reflect the suppliers in the communities we serve. 3CE's recruitment and hiring practices support the agency's goal to promote an inclusive and diverse workplace culture:

- **Hiring Practices and Diverse Talent Pipelines** – Within the limits of current law, 3CE seeks to develop diverse talent pipelines that reach traditionally unrepresented professional groups and educational institutions.
- **Compensation and Job Classifications** – 3CE conducts a biennial compensation study to ensure our compensation and benefits are competitive with the relevant job market for comparable positions. Additionally, 3CE reviews its job classifications and job descriptions to promote a vibrant and effective organization, which includes ensuring educational requirements are appropriate for the job, or that relevant experience can substitute for formal education.

Internship Program – In 2023, 3CE will continue to engage in internship opportunities for students from local community colleges and universities that serve traditionally underserved communities. 3CE will introduce students to meaningful learning opportunities in both local government and the electric procurement industry while helping to develop future talent for our clean energy economy.

Diversity and Unconscious Bias Training – 3CE is committed to providing employee training, including diversity and unconscious bias training, to further recognize and address barriers, stigmas and systemic institutions that limit small and local diverse businesses' participation in 3CE contracting opportunities.

Intentional Policy Setting – 3CE's Policy and Operations Boards, its Community Advisory Council, and staff are committed to establishing comprehensive policies reconfirming 3CE's commitment to small and local diverse businesses. Policies include local preference policies, evaluating, and defining selection criteria for power procurement contracting and program development and economic development.

2023 PLANNED EXTERNAL ACTIVITIES

3CE's 2023 external activities will complement its internal efforts to identify, support, and incentivize the participation of small and local diverse businesses with 3CE contracting opportunities. 3CE will continue outreach to diverse business chambers and community-based organizations, including through networking opportunities and activities that administer technical assistance and/or offer capacity building activities to suppliers in the small and local business community.



Looking to the year ahead, 3CE's Communications & Outreach team will continue strategic outreach efforts based on the agency's Underserved Communities Outreach and Engagement Plan and on the agency's commitment to grow opportunities to support small, local, diverse, and women-owned businesses. 3CE's Small and Local Vendor Registry – a vendor database that enables direct outreach to small and local suppliers regarding contracting opportunities with 3CE – continues to grow. This improves 3CE's outreach by allowing 3CE to better understand which contracting opportunities the vendor engages in, whether the vendor is successful, and identifying potential barriers to participation in 3CE contracting opportunities.

Communications staff will continue promoting the Registry through agency channels including 3CE's monthly newsletter and posts on social media. The preference for small and local vendors is also reflected in the text of every Request for Proposals and Request for Offers.

Our planned external activities include, but will not be limited to:

- Engaging with diverse business associations and sponsor of key supplier diversity conferences and activities. This may include organizations such as the Pajaro Valley Chamber of Commerce, Santa Cruz Chamber of Commerce, the National Minority Supplier Development Council, The Minority Business Development Agency, the California Black Chamber of Commerce and the Disability Chamber of Commerce.
- Hosting a virtual registration event to train small and local diverse businesses on how to register with 3CE to receive information about contracting opportunities and support and participating in the CPUC Annual Supplier Diversity events as applicable.
- Targeted outreach focused on highlighting the work of small and local diverse businesses through social media and our newsletter.



- Partnering with advocacy and community-based organizations to grant small and local businesses platform opportunities to gain greater visibility.

3CE includes preference criteria for local projects as part of its standard power procurement processes. In 2023, 3CE is increasing its commitment by specifically soliciting local projects. As part of that effort, 3CE developed specific requirements and evaluation criteria to value a project's impact on the local economy. 3CE supported local developers through bidders' conferences and facilitating introductions and opportunities for renewable energy professionals.

3CE's extensive portfolio of energy programs represent a significant opportunity to address several State goals, including reducing greenhouse gases, accelerating the transition to electrification, and increasing contracting opportunities for small and local diverse businesses.

3CE's 2023 energy programs will continue to address barriers to contracting by encouraging coordination with Member Agencies' programming, advertising procurement opportunities, and increasing access to workforce training and development.

FUTURE ENERGY PROGRAM DEVELOPMENT

Ongoing energy program evaluation and performance measurement is important to ensuring that 3CE's energy programs are achieving their goals and objectives while identifying areas for potential improvement. 3CE staff will evaluate and provide a report on previous fiscal year energy program activities and performance. Staff will use the energy program evaluation and report to inform future program design, implementation, budgeting, and marketing and outreach.

2023 PLANNED COMMUNITY ENERGY PROGRAMS

New Construction Electrification Program

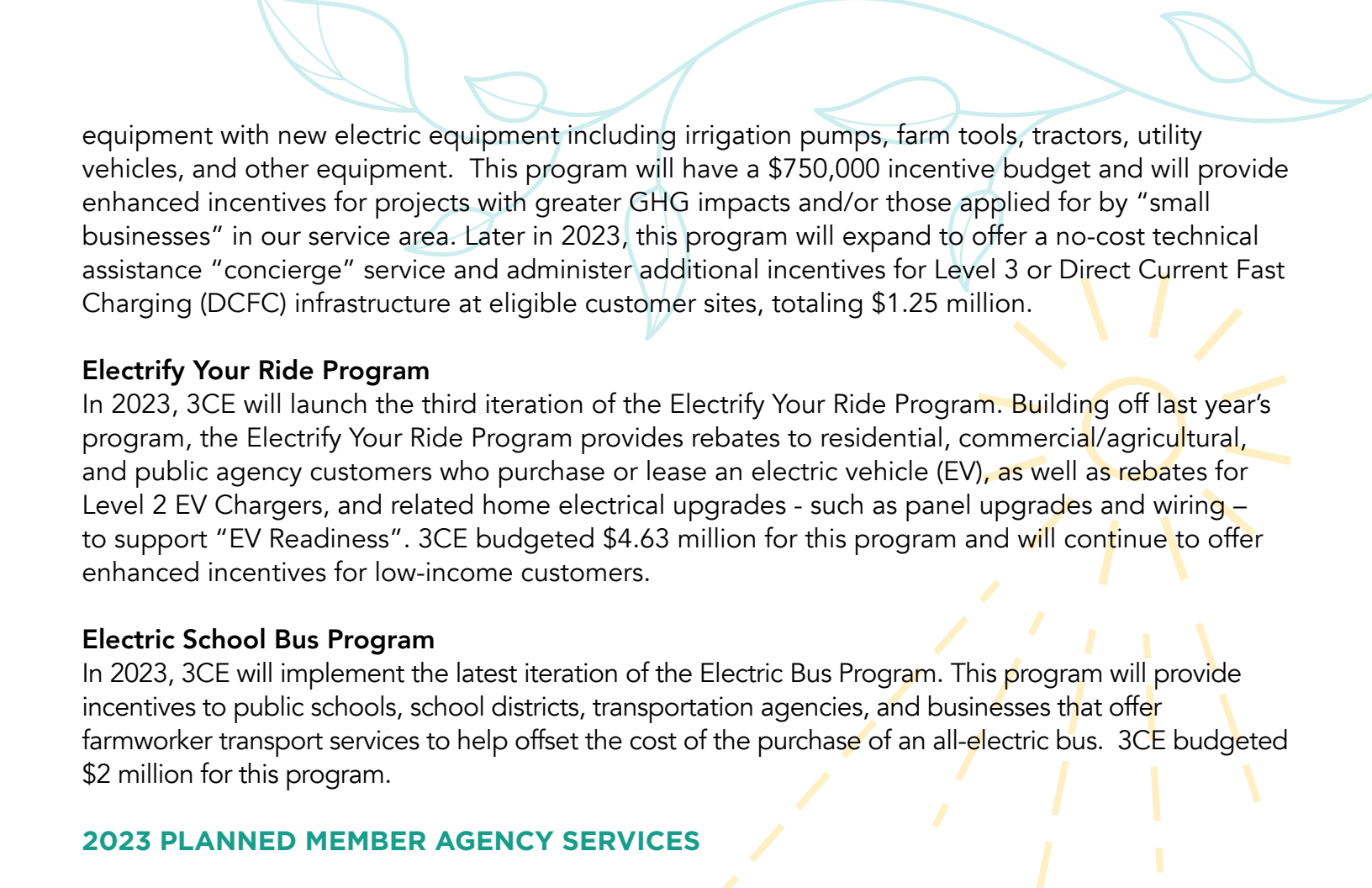
In 2023, 3CE will implement the latest iteration of the New Construction Electrification Program to provide affordable and farmworker housing developers, and homeowners building Accessory Dwelling Units (ADU), with incentives to build all-electric housing. 3CE has budgeted \$1.5 million for this program.

Electrify Your Home Program

In 2023, 3CE will re-launch the Electrify Your Home Program in partnership with TECH Clean California. This residential electrification program will provide incentives "midstream" to enrolled contractors who recommend and switch out gas powered appliances in existing single and multi-family buildings with all-electric heat pump water heating and HVAC technologies. This program has a \$1.6 million budget and will continue offering enhanced incentives for low-income customers.

Agricultural Electrification Program

In 2023, 3CE will implement the latest iteration of the Agricultural Electrification Program to provide incentives to local agricultural businesses for replacement of fossil fuel powered agricultural



equipment with new electric equipment including irrigation pumps, farm tools, tractors, utility vehicles, and other equipment. This program will have a \$750,000 incentive budget and will provide enhanced incentives for projects with greater GHG impacts and/or those applied for by “small businesses” in our service area. Later in 2023, this program will expand to offer a no-cost technical assistance “concierge” service and administer additional incentives for Level 3 or Direct Current Fast Charging (DCFC) infrastructure at eligible customer sites, totaling \$1.25 million.

Electrify Your Ride Program

In 2023, 3CE will launch the third iteration of the Electrify Your Ride Program. Building off last year’s program, the Electrify Your Ride Program provides rebates to residential, commercial/agricultural, and public agency customers who purchase or lease an electric vehicle (EV), as well as rebates for Level 2 EV Chargers, and related home electrical upgrades - such as panel upgrades and wiring – to support “EV Readiness”. 3CE budgeted \$4.63 million for this program and will continue to offer enhanced incentives for low-income customers.

Electric School Bus Program

In 2023, 3CE will implement the latest iteration of the Electric Bus Program. This program will provide incentives to public schools, school districts, transportation agencies, and businesses that offer farmworker transport services to help offset the cost of the purchase of an all-electric bus. 3CE budgeted \$2 million for this program.

2023 PLANNED MEMBER AGENCY SERVICES

Reach Code Program

In 2023, 3CE will launch the Reach Code Program with program implementation support from a consultant, TRC Engineers. This redesigned program offers stakeholder outreach support and provides no-cost technical assistance to help Member Agencies adopt and implement reach codes. The Reach Code Program includes recommended model codes for new construction electrification, electric vehicle charging infrastructure, and existing building electrification. This program has a \$100,000 budget.

Electrify Your Fleet Program

In 2023, 3CE will implement the Electrify Your Fleet Program and provide incentives to Member Agencies to offset the cost of the purchase/lease of light duty, medium duty, and heavy-duty battery electric vehicles. These incentives are available as a post-purchase rebate or as a pre-purchase reservation. 3CE has budgeted \$2 million for this program.

Charge Your Fleet Program

In 2023, 3CE will launch the Charge Your Fleet Program and provide incentives to Member Agencies to offset the cost of the purchase and construction/installation of Level 2 and/or Level 3 electric vehicle charging infrastructure. These incentives are available as a post-purchase rebate or as a pre-purchase reservation. 3CE has made \$1.25 million available for this program.

Plan Your Fleet Program

In 2023, 3CE will implement the Plan Your Fleet Program and provide no-cost technical assistance to help Member Agencies electrify their fleets. This program will be supported by a third-party planning, design, and engineering consultant who will deliver a broad scope of services to 3CE Member Agencies to accelerate fleet electrification and development of Member Agency electric vehicle charging infrastructure across the 3CE service area. 3CE has budgeted \$450,000 for this program.

Broadband Access Initiative

In 2023, 3CE will launch a Broad Band Initiative in collaboration with Member Agencies and regional economic agencies to support greater broadband access and deployment. This program will provide funding to Member Agencies for the purpose of studying, planning, or implementing broadband initiatives that will increase broadband access in 3CE's service area, particularly in rural, underserved, and geographically isolated regions. 3CE has made \$150,000 available for this initiative. policies, local workforce requirements, and prevailing wage policies.



10.2 Plans to Encourage Prime Contractors to Subcontract Small, Local, and Diverse Businesses

As the majority of 3CE's budget is reserved for power supply purchases and due to the limited nature of the work 3CE contracts for in non-energy procurement operational spend, there are few opportunities for sub-contracting. Despite this, in 2023, 3CE will work to create internal goals for tracking and sharing sub-contracting opportunities of contracted vendors to our small, local, and diverse businesses. Although undetermined at this time, some ways 3CE could accomplish this are:

- Upon execution of contracts with vendors, provide educational collateral to vendors on how to utilize the Supplier Clearinghouse.
- Hold an annual vendor webinar for 3CE contracted vendors on Supplier Clearinghouse Certification, Job Posting, and Search Functions.
- Reshare sub-contracting opportunities through our Small, Local, and Diverse Business Registry.

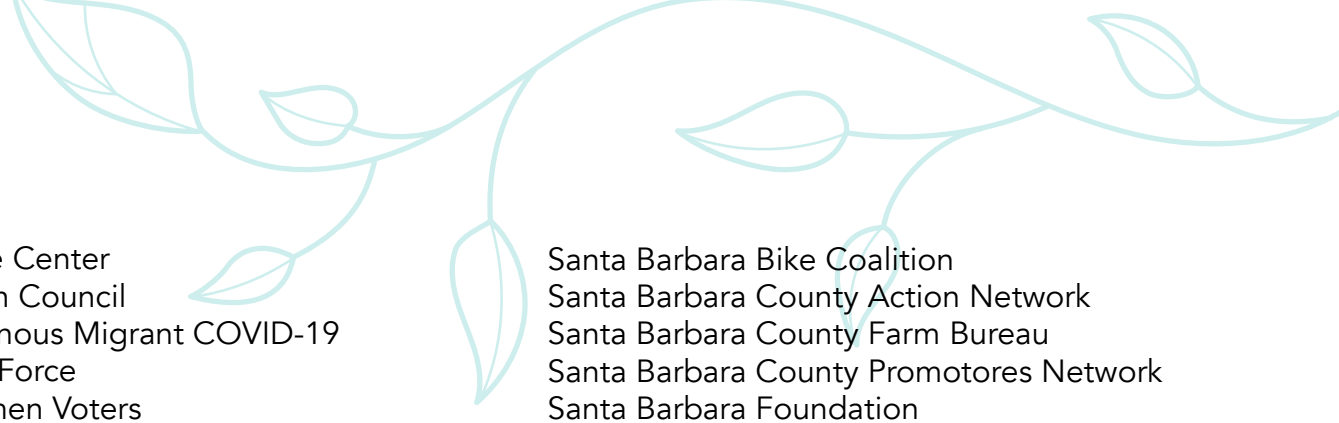


Appendix

9.1.1 Outreach and Sponsorship Organizations Full List

Additional outreach and sponsorship activities included the following organizations:

5Cities Homeless Coalition
Allan Hancock College
Association of Women in Water, Energy, and the Environment
Behavioral Health Agency SLO
Bike SLO County
Boys & Girls Club of the Mid Central Coast
CAPSLO, INC
Buellton Chamber of Commerce
Carmel Chamber of Commerce
Carmel Valley Chamber of Commerce
Carmel Valley Partners
Casa de la Raza
CAUSE
Center for Family Strengthening
Central Coast Climate Collaborative
Chamber of Commerce of San Luis Obispo
CommUnifyo
Community Environmental Council
Community Immigrant Network Services of Empowerment (CINSE)
Congressman Salud Carbajal's Office
Cuesta College Latino Leadership Network
Cuesta College Monarch Center
Ecology Action
ECOSLO
Entravision Comm Inc. First Five Paso
Fund for Santa Barbara
Green Business Network
Green Building Council
Grower-Shipper Association of Central California
Hispanic Business Association
Housing Authority SB County
Independent
LGBT Chamber – Rainbow Chapter



Living Resource Center
Latino Outreach Council
Latinx & Indigenous Migrant COVID-19
Response Task Force
League of Women Voters
Lideres Campesinas
Santa Maria Management Trust Paso Robles
Montecito Association
Montecito Rotary
Monterey Bay Economic Partnership
Mixteco Indigena Community Organizing Project
Monterey Bay Aquarium Foundation Inc.
Monterey Co Vinters & Growers Association
Monterey County Business Council Inc
Monterey County Farm Bureau
Monterey Hospitality Association
Monterey Peninsula Chamber of Commerce
Morro Bay Chamber of Commerce
Mujeres de Accion
NAACP Santa Maria and Lompoc Chapter
National Association of Women Business
Owners
North County Newcomer's Club
North Monterey County School District
North San Luis Obispo County Association of
Realtors
Paso Robles Chamber of Commerce Paso
Robles Library Paso Robles Public Schools
People's Self-Help Housing
Pismo Beach Rotary Premier
Promotores Collaborative San Luis Obispo
REACH
Regeneración Pájaro Valley Climate Action
Rotary Club of the Five Cities Eco
Rotary of Arroyo Grande
Rotary of Paso Robles Noon
Rotary of Paso Robles Sunrise
Salinas Valley Area Chamber of Commerce
San Benito County Business Council
San Benito County Farm Bureau
Salinas Valley Energy Forum
Salinas Valley Virtual Housing Resource Fair
San Luis Obispo Association of Realtors
San Luis Obispo County Farm Bureau

Santa Barbara Bike Coalition
Santa Barbara County Action Network
Santa Barbara County Farm Bureau
Santa Barbara County Promotores Network
Santa Barbara Foundation
Santa Barbara South Coast Chamber of
Commerce
Santa Barbara Vintners Association
Santa Cruz Area Chamber of Commerce
Santa Maria Library
Santa Maria Noontimers Lions Club
Santa Maria Rotary South
Santa Maria Sunrisers Lions Club
Santa Maria Valley Chamber of Commerce
Santa Maria Valley YMCA Santa Ynez Band of
Chumash Indians
Santa Ynez Chamber of Commerce
Santa Ynez Valley People Helping People
Santa Ynez Valley Rotary
SLO Chamber of Commerce
SLO Climate Coalition
SLO County Community Foundation Inc.
Solvang Rotary Clubb
South County Chambers of Commerce
St. John Neumann Catholic Church
St. Rose of Lima Catholic Church Sunrise Terrace
Summerland Citizens Association
The Little House by the Park
Transitions Mental Health
Transportation Agency Monterey
Tri-County Regional Energy Network (3C-Ren)
United Farm Workers of America Inc.
United Way Monterey County
United Way of San Luis Obispo County
Women's Business Enterprise Council
Women's Economic Ventures
YMCA



Central Coast
**Community
Energy**

CLEAN ENERGY. LOCAL CONTROL.

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